Proposal for C2y

#### WG14 N3578

Title: Dogfooding the \_Optional qualifier

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Target audience: Committee, General developers

**Abstract:** In this paper, I demonstrate real-world use cases for \_optional — a proposed new type qualifier that offers meaningful nullability semantics without turning C programs into a wall of keywords. By solving problems in real programs and libraries, I learned much about how to use the new qualifier to be best advantage, what pitfalls to avoid, and how it compares to Clang's nullability qualifiers. I also uncovered an unintended consequence of my design.

Prior art: <u>N3089</u>, <u>N3422</u>.

# Dogfooding the \_Optional qualifier

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## Summary of Changes

N3578

• Initial version

## Motivation

At the 72<sup>nd</sup> meeting of WG14 in Graz, the committee decided to create a Technical Specification (TS) based on my proposal, <u>N3422</u>, which formalizes \_optional as a type qualifier for nullability, after previously voting overwhelmingly for something along the lines of <u>N3089</u>. Nevertheless, there remains persistent opposition to aspects of my design, notably from Clang's lead maintainer. Since I am not immune to criticism, I've been <u>eating my own dog food</u> this Easter.

Originally, (years ago now) I used my prototype to add pointer nullability information to parts of the user-space Mali GPU driver. This code is not open source; therefore, it is not available for anyone to study, nor is there any evidence to support my claim. However, a lot of my own code is <u>open source</u> <u>on GitHub</u>, and it is that code which I have been updating to use the \_optional qualifier.

It's reasonable to ask why I haven't done that before. For one thing, I don't want to take on the task of porting Clang to <u>my preferred development platform</u>. (Even if I had time, it would be appallingly slow and probably run out of memory.) This is not a criticism of Clang in particular, but of complex modern compilers in general. I've hesitated to incorporate \_Optional into my hobby projects, partly due to how my design was received by Clang's maintainers and partly because I must work and parent my children for most of the time.

However, I cannot expect to be taken seriously if I don't show real-world use cases.

None of the following projects were cherry-picked for suitability, nor did I spend hours refactoring to show my code in a better light or to fit better into my proposed model. For example, I would no longer write parameter declarations with abstract declarators and interleaved comments instead of parameter names (a style that I copied from old system headers).

The following projects are covered by GPL v2:

- <u>3dObjLib</u>
- <u>ApocToObj</u>
- <u>CBDebugLib</u>
- <u>CBLibrary</u>, <u>CBLibrary</u> (2), <u>CBLibrary</u> (3), <u>CBLibrary</u> (4)
- <u>CBOSLib</u>, <u>CBOSLib</u> (2)
- <u>CBUtilLib</u>, <u>CBUtilLib</u> (2)
- <u>ChocToObj</u>
- <u>GKeyComp</u>
- <u>GKeyLib</u>
- <u>SF3KtoObj</u>
- <u>SF3KtoProT</u>, <u>SF3KtoProT</u> (2), <u>SF3KtoProT</u> (3)
- <u>SF3KUtils</u>
- <u>strb t</u>
- <u>StreamLib</u>

Please try to imagine what these commits would look like if every pointer parameter been annotated with either \_Nullable or \_Nonnull. Like restrict, Clang's nullability attributes lack any mechanism for the compiler to check that they have been used consistently or correctly. Would you want to write or maintain such code? I don't think this is a false dichotomy; one way or another, the days of relying on the diligence of programmers to handle null pointer values safely are numbered.

Excessive verbiage will kill C as a language that programmers *actually want to use*. We are already halfway there. Yes, it may persist for a while for the purpose of describing system headers, but not as a language for writing anything valuable. I'm not yet convinced that those working predominantly on C++ codebases appreciate this, or that they care. Why should they?

Most C programmers do not want to write code like this (real function) definition:

```
void DrawObjs to screen(
const PolyColData *const _Nonnull poly_colours,
const HillColData *const _Nullable hill_colours,
const CloudColData *const Nonnull clouds,
ObjGfxMeshes *const Nonnull meshes,
const View *const _Nonnull view,
const MapArea *const Nonnull scr area,
DrawObjsReadObjFn *const _Nonnull read_obj,
DrawObjsReadHillFn *const Nonnull read hill,
void *const cb arg,
TriggersData *const _Nullable triggers,
const ObjEditSelection *const Nullable restrict selection,
const Vertex scr_orig,
const bool is ghost,
const ObjEditSelection *const Nullable restrict occluded)
{
  . . .
```

At that point, writing C starts to feel more like painstakingly navigating a type system minefield than enjoying a programming language — let alone a hobby. I would rather write C++ than write C in the cluttered style shown in the preceding example. If this style ever becomes widespread, it will risk reinforcing the stereotype of C as an outdated, overly burdensome language — which would be a shame, given how clear and concise it once was.

I really did write all but one of those <code>const</code> qualifiers in the preceding example because I am punctilious to the point of mild obsession. On the other hand, I am also clear-eyed about the fact that most programmers have neither the time nor the inclination to write code like this. I did not add the <code>restrict</code> qualifiers, and I would never add <code>\_Nonnull</code> or <code>\_Nullable</code>.

For me, Clang's nullability attributes were the straw that broke the camel's back. Despite occupying the same syntactic position, they are not qualifiers at all: they are metadata bolted on to types, invisible to the language's core semantics, and incompatible with the clean declarator syntax that C is built around.

Qualifiers have been an important part of C since it was <u>standardized by ANSI</u>, but Denis Ritchie (cocreator of C) was <u>initially sceptical of them</u>:

Let me begin by saying that I'm not convinced that even the pre-December qualifiers (`const' and `volatile') carry their weight; I suspect that what they add to the cost of learning and using the language is not repaid in greater expressiveness.

However, he did not outright reject the idea. He also wrote:

*Const has two virtues: putting things in read-only memory, and expressing interface restrictions. For example, saying* 

char \*strchr(const char \*s, int c);

is a reasonable way of expressing that the routine cannot change the object referred to by its first argument. I think that minor changes in wording preserve the virtues, yet eliminate the contradictions in the current scheme.

Indeed, const ended up being almost universally accepted. It does a lot to make interfaces selfdocumenting and to allow verification that read-only objects are not modified. I believe that \_optional is in the same tradition: opt-in, minimalist, and useful without imposing too great a burden on compiler authors. By this standard, nullability attributes fall short. By restraining the desire to over-specify everything, it's possible to reduce the blizzard of clutter; we can then selectively introduce \_optional and yet still write mnemonic-style declarators such as \*hill\_colours (in accordance with K&R's design for the language's syntax):

```
void DrawObjs to screen(
 const PolyColData *poly_colours,
_Optional const HillColData *hill_colours,
 const PolyColData
 _____
const CloudColData
                                    *clouds,
ObjGfxMeshes
                                    *meshes,
 const View
                                    *view,
 const MapArea
                                    *scr area,
                                    *read obj,
 DrawObjsReadObjFn
DrawObjsReadHillFn
                                   *read hill,
                           *cb_arg,
*triggers,
void
_Optional TriggersData
 _Optional const ObjEditSelection *selection,
 const Vertex
                                    scr orig,
 const bool
                                    is ghost,
 Optional const ObjEditSelection *occluded)
{
  . . .
}
```

(Here, \_Optional qualifies the object being pointed to, not the pointer itself, like most typical usage of const.)

Incidentally, Python has distinct <u>abstract base classes</u> for read-only collections: e.g., (immutable) Mapping vs. (mutable) dict and (immutable) Sequence vs. (mutable) list. Consequently, there is no Const[dict] in Python's type annotations, let alone a Nonconst[dict]. This is an interesting alternative to qualifiers but a poor fit for a language (like C) that has no generalized and extensible mechanism for subtype polymorphism.

# Methodology

It is possible to introduce \_optional gradually by reading code and adding the qualifier to the referenced type of any pointer that is only dereferenced after an explicit null check. However, this is not a very thorough method. On the other hand, it is the least disruptive way to start, especially if you restrict yourself to 'leaf' functions whose arguments can be null, but which do not pass those values to other functions or assign them to global variables.

To have confidence that most referenced types that ought to have been qualified as \_Optional were updated, it is necessary to think about the possible origin of null pointer values:

- 1. As a result of default initialisation of an object of pointer type (e.g., because it lacks an explicit initialiser or it is initialized with an empty initializer).
- 2. As a result of the implementation-defined conversion of an integer to a pointer type.
- 3. As a result of assigning the macro NULL or any other null pointer constant.
- 4. As a result of assigning the return value of a third-party library function that may return null.

Today, there is nothing that can be done about points 1 and 2, although they are interesting avenues for future improvement of tooling. Instead, I chose to focus on points 3 and 4.

In my projects, I only use NULL as a null pointer constant (i.e. no nullptr from C23, no naked 0 or naked (void \*)0). I therefore redefined the NULL macro to force generation of diagnostics when NULL is assigned to objects whose referenced type is not qualified as \_Optional:

```
#undef NULL
#define NULL ((_Optional void *)0)
```

Strictly speaking, a null pointer constant is not a pointer to a qualified type, therefore my definition of NULL is not a null pointer constant:

An integer constant expression with the value 0, such an expression cast to type void \*, or the predefined constant nullptr is called a null pointer constant.

(6.3.3.3 Pointers, ISO/IEC 9899:202y (en) — <u>N3550</u> working draft)

This is a trade-off: I chose practical enforcement over strict standard compliance. In practice, ((\_Optional void \*)0) serves as a null pointer constant in every kind of situation but one. In pedantic mode, Clang produces diagnostic messages if ((\_Optional void \*)0) is assigned to a function pointer:

Consequently, I had to <u>add casts</u> when assigning NULL (as redefined with \_Optional) to function pointers, since the qualifier is not compatible with function types:

```
/* Create a temporary sky file */
EditSky edit_sky;
(void)edit_sky_init(&edit_sky, NULL, (EditSkyRedrawBandsFn *)NULL,
   (EditSkyRedrawRenderOffsetFn *)NULL, (EditSkyRedrawStarsHeightFn *)NULL);
Editor tmp;
editor init(&tmp, &edit sky, (EditorRedrawSelectFn *)NULL);
```

Another thing to watch out for when using Clang is that including <stddef.h> (or any header file that might include <stddef.h>) reinstates the original definition of NULL — even if <stddef.h> was also included *before* redefining the macro! In practice, this means that a header file that redefines NULL must be included last by any file that includes it.

Neither of these issues will exist when compilers gain the ability to diagnose misassignment of null pointer constants (analogous to GCC's -Wwrite-strings option to diagnose misuse of string literals), because the \_Optional qualifier won't need to be explicit in the definition of NULL (any more than the const qualifier is explicit in "Hello world").

It would be idiomatic to initialise a pointer to an \_Optional object with the return value of malloc, even though the return type of malloc is void \* rather than Optional void \*:

\_Optional int \*ip = malloc(sizeof \*ip);

Just as assigning the return value of malloc to an object of type int \* adds useful type information, so does assigning it to an object of type \_\_Optional int \*. However, this technique requires a thorough search for all calls to malloc in a program and constant vigilance thereafter.

I therefore defined shims for some third-party library functions. Their purpose is to catch assignment of a return value that can be null to a pointer whose referenced type is not qualified as \_Optional. They act as a transitional mechanism. I did not do this for functions provided by my own libraries, because it was more useful to invest my time in updating those.

Each shim comprises a substitute function and a macro that replaces a call to the original function with a call to the substitute. Each substitute wraps a call to the original but has a return value with a more qualified type. For example, here is a <u>shim to update the return type of malloc</u>:

```
static inline _Optional void *optional_malloc(size_t n)
{
    return malloc(n);
}
#undef malloc
#define malloc(n) optional_malloc(n)
```

The same strategy is used for other functions that can return null, such as strchr and realloc.

Of course, this is only half of the picture: it would be inconvenient to define a shim to malloc that returns a pointer to a qualified type, but not a shim to free that accepts the same type. Consequently, a few more shims were needed to adapt parameter types:

```
static inline void optional_free(_Optional void *x)
{
    free((void *)x);
}
#undef free
#define free(x) optional_free(x)
```

fopen was the only function operating on a stream that needed a shim. For example, fclose does not accept a null pointer.

#### Known limitations of my approach

- Assignment of NULL to function pointers requires explicit casts.
- Redefining NULL is fragile across #include directives.
- Requires a manual audit of third-party library functions to identify those which may return a null pointer.

Ultimately, if toolchain support for \_optional improves, much of this machinery — such as redefining NULL or writing wrappers — will become unnecessary. In the meantime, this approach helps enforce correct nullability semantics with my prototype fork of Clang.

## Virtue from necessity

Many of the changes that I made whilst dogfooding the \_Optional qualifier were to add the sigil &\* to expressions in which the qualifier would not otherwise be removed from a referenced type. For example, in a <u>commit</u> of +1449 -1150 lines, 512 lines added \_Optional (35%), 300 lines added &\* (20%), and 2 lines (0.1%) added both. That's closer to parity between use of \_Optional and &\* than I expected, but I do not think either significantly impacts the terseness or readability of the code.

I did not make a big effort to minimize the use of &\* because it is easy to type and transparent to tools that don't understand \_Optional; the way to do so would be to minimise the number of individual dereferences of maybe-null pointers. I hope everyone can agree that would be a worthy goal, irrespective of anything else.

This idiom may appear ugly, but I have yet to hear anyone propose a practical alternative that doesn't require path-sensitive analysis. In any case, I rather like having something to tell me "Watch out!" when a pointer is assumed to be non-null. It makes it easier to validate code by eye, which is important for code reviews. If a reviewer cannot tell that a pointer argument should not be null without looking at the description of a called function, that is time-consuming.

Anyone can see that a call such as gkeycomp\_compress(&\*comp, &params) should be guarded by control flow statements to ensure that comp is never null. Traditionally, it would be necessary to find every definition of the gkeycomp\_compress function that the translation unit containing this call could conceivably be linked with (assuming they are all open source, and disregarding definitions that haven't been written yet), then analyse every definition to discover whether it is safe to use comp as an argument.

a\* (or any equivalent) can be used as an assertion that a pointer is not null *regardless of whether the referenced object needs to exist*. It is often the case that although a callee could accept null, the programmer does not intend to pass null to that function (either because any error should have been handled earlier, as in the case of free, or because null has a special meaning, as in the case of strtok). This use-case is enabled by path-sensitive analysis, not made redundant by it.

Using  $\mathfrak{s*ptr}$  as a signal —not just as a workaround — enhances the readability of code by making the programmer's intent explicit and allows that intent to be translated into diagnostic messages if appropriate.

# \_Optional makes code self-documenting

There's no chance that I would have bothered to go back and document functions in my hobby projects after all this time; adding the \_optional qualifier was a lot easier, more useful and more fun.

For example, this:

Became this:

<pre>static bool process_file(const char * const model_file,</pre>
Optional const char * const index_file,
_Optional const char * const output_file,
const int first, const int last,
_Optional const char * const name,
const long int data_start,
<pre>const char * const mtl_file,</pre>
double const thick,
const unsigned int flags, const bool time,
const bool raw)

The reference parameters marked \_optional immediately stand out because references to nonoptional objects are *not* marked with \_Nonnull (or equivalent). Now, someone can instantly see that they don't need to pass an object name, index file name or output file name to process\_file. This might be sufficient to jog their memory or even to guess correctly why not. (Clue: it has something to do with stdin and stdout.)

# Dealing with interface mismatches

The chief proponent of Clang's nullability attributes has said that, for his users, "not sure whether it can be null" (aka\_Null\_unspecified, but usually implicit) is the most important category of pointer.

I did not require a third state when dogfooding the \_Optional qualifier, nor do I think it would have meaningful semantics for my programs. We do not talk about whether data is immutable, mutable or not-sure; nor is that distinction part of the language. Either an lvalue is assumed to be modifiable or it is not; either an lvalue is assumed to be valid or it is not.

#### Does anyone seriously wish that the ANSI C committee had

mandated \_Const, \_Nonconst and \_Const\_unspecified attributes instead of a
single const qualifier? Would the state of C programming be better today if every mutable type
were qualified as Nonconst? I do not think so.

However, nor do I not dismiss the idea that it is sometimes necessary to update code separately from libraries that it depends upon, or update a library separately from code that depends upon it. My original dogfooding exercise in 2022 modified a codebase that had few external dependencies; many real projects are not like that.

#### Outdated callers that consume potentially-null pointers

A library function that can return null might be <u>updated to output a pointer to an</u>\_optional<u>-</u> <u>qualified type</u> before all code that depends on that library has been updated to handle \_optionalqualified types:

\_Optional GKeyComp \*gkeycomp\_make(unsigned int /\*history\_log\_2\*/);

Unless the \_optional qualifier is used in \_Generic selection, it can be defined for compatibility purposes as a macro that expands to nothing. This makes it relatively easy to avoid the need to update outdated code that consumes a potentially-null pointer produced by a function.

I went further than that: every library header that I updated to specify an interface using Optional also defines it as a macro that expands to nothing unless explicitly overridden:

```
#if !defined(USE_OPTIONAL) && !defined(_Optional)
#define _Optional
#endif
```

To enable the \_optional qualifier, USE\_OPTIONAL must be defined as part of the command line used to invoke the compiler. This avoids imposing any requirement on outdated code that might depend on such headers.

Once a decision has been made to update an outdated caller of a function that can return null, the referenced type of any variable to which the return value is assigned must be <u>updated to add</u> <u>the\_Optional\_qualifier</u>:

```
_Optional GKeyComp *comp = NULL;
// ...
comp = gkeycomp make(history log 2);
```

This makes it easier to reason about the calling code, since the imported constraint on the variable is explicitly stated. However, subsequent assignments of the variable's value (including in function calls) may then cause constraint violations that require further updates to the calling code.

For example, a constraint violation may be diagnosed in a subsequent call to a function that does not accept the address of an \_\_Optional object:

Having checked that the address of the \_optional object cannot be assigned to an argument or variable that discards the qualifier if the pointer is actually null, those expressions will need <u>updating to explicitly remove the \_optional qualifier</u> (e.g., using the a\* idiom):

status = gkeycomp\_compress(&\*comp, &params);

If available, path-sensitive analysis can then be used to verify that the updated expressions really are guarded by control flow statement.

In contrast, had the gkeycomp\_make function been updated to output a \_Nullable pointer then no changes would have been required to the calling code. This is because the \_Nullable attribute is not really part of the type; it has no meaningful semantics without path-sensitive analysis.

C claims to be a language that allows "programmers and tools to reason about code, allows for diverse implementations, keeps compilation times short". Programmers cannot reason about code into which constraints are imported invisibly. Relying solely on path-sensitive analysis has advantages but it is conducive neither to diverse implementations nor to short compilation times.

#### Outdated callees that consume potentially-null pointers

Some code that calls a library function that can accept null might be <u>updated to pass a pointer to</u> <u>an\_optional-qualified type</u> before the library they depend on has been <u>updated to</u> <u>handle\_optional-qualified types</u>:

The preceding function serves as a memory-allocation veneer for a function in another library and passes several potentially-null pointers (pv and ps) straight through. This situation is rare, in my experience. Usually, potentially-null arguments are either pointers being passed back into a library whence they originated (as in the case of malloc and free), or they are null pointer constants.

There is no way of preprocessing an old library header such that \_optional qualifiers magically appear in the parameter types of functions that it declares, therefore the only place to address the problem is in the calling code.

Casts can be used to remove the \_optional qualifier from the referenced type of arguments passed to an outdated function:

Casts are the solution that C programmers have always used when calling functions that do not accept pointer-to-const, but they are not type-safe. A macro to remove the \_Optional qualifier from a referenced type more safely can be created by making use of the fact that the operand of typeof is not evaluated:

```
#define optional_cast(p) ((typeof(&*(p)))(p))
```

This has another advantage which is that macro-style casts are easier to find, and their purpose is immediately obvious. When passing NULL as an argument, less information is lost by using an ordinary cast, and (void \*)NULL is as easy to spot or search for as optional\_cast(NULL).

An alternative might be to use a null pointer constant such as (void \*)0 in place of my modified definition of NULL, but that would not be future proof should compilers gain the ability to diagnose misassignment of null. The other alternative of 0 is worse for readability and would provoke a diagnostic message if -Wzero-as-null-pointer-constant were enabled.

In contrast, had the canonicalise function instead tried to pass \_Nullable pointer arguments pv and ps to an outdated version of os\_fscontrol\_canonicalise then no changes would have been required to either function. This is because the \_Nullable attribute is not really part of the type; it has no meaningful semantics without path-sensitive analysis.

Neither Clang nor its static analyser complain when the \_Nullable attribute is implicitly discarded, nor when a null pointer constant is an argument unless the callee's parameters have explicitly been annotated as \_Nonnull. Effectively, the default assumption is that any function can accept null or a \_Nullable pointer. This requires all parameters of pointer type to be written with the \_Nonnull attribute; a bigger change to use of the language than selective use of \_Optional.

## Casts in loop macros

In general, casts are discouraged because they discard useful type information, but in some cases — such as macro-generated for loops— they remain the most practical tool.

I found them useful in the specific use-case of <u>a loop macro that traverses an intrusive linked list</u>:

(Reformatted for greater clarity.)

Both linkedlist\_get\_head and linkedlist\_get\_next return a pointer to an \_Optional LinkedListItem. I chose to cast away the \_Optional qualifier rather than qualify the referenced type of item to match the return type of these functions, because users of the macro expect a nonnull pointer to the current item within the loop body. Given the constraints of for statements, I couldn't think of a better way to express that.

In practice, this type information is often <u>discarded immediately by macros like\_CONTAINER\_OF</u>, so the precise type of item rarely matters outside the loop header:

```
LINKEDLIST_FOR_EACH_SAFE(&path->waypoints, item, tmp)
{
    Waypoint *const waypoint = CONTAINER_OF(item, Waypoint, link);
    waypoint_delete(waypoint);
}
```

In <u>other cases</u>, it would not be too onerous to use the &\* idiom within the loop body — merely an unwelcome surprise:

```
LINKEDLIST_FOR_EACH_SAFE(&list, item, tmp)
{
    if (j++ % KeepInterval)
    {
        linkedlist_remove(&list, &*item);
    }
}
```

While using & titem is safe and amenable to static analysis, I feel that casting in the macro definition produces cleaner and more intuitive code.

# Callback context conundrum

In C, null is used for two semantically distinct purposes: to indicate the absence of a referenced object, or as a placeholder where a pointer is required but its value is irrelevant. The latter usage is common in callbacks, where null is often passed simply because the callback function signature requires a pointer argument.

An example is the void \* parameter of the standard library function <code>bsearch\_s</code>, whose value is passed to a user-specified comparison function. If the comparison function requires no context, then there is no point in requiring a non-null pointer to be passed.

#### Precedent for use of existing qualifiers

Let us consider the precedent set by const and volatile. It is plausible for callback functions to use immutable or volatile contexts, yet it is rare for C libraries to allow the address of such contexts to be passed without casting.

For example, this function allows an event handler to be registered:

typedef int (WimpEventHandler)	WimpPollBlock	
_kernel_oserror *event_register	_wimp_handler	<pre>(ObjectId object_id, int event_code, WimpEventHandler *handler, void *handle);</pre>

For a function such as event\_register\_wimp\_handler to accept a context pointer of type const void \*, volatile void \* or const volatile void \*, the two declarations would need to have been written like this:

typedef int (WimpEventHandler)	WimpPollBlock	<pre>*event, *id_block, *handle);</pre>
_kernel_oserror *event_register	WimpE	tId object_id, int event_code, ventHandler *handler, volatile void *handle);

This is only a small inconvenience for the author of the library that

provides event\_register\_wimp\_handler, but a huge inconvenience for users who
implement WimpEventHandler functions. Accepting a pointer to a qualified type in the registration
function forces all event handlers to accept it too!

typedef int (WimpEventHandler) (int event code, WimpPollBlock \*event, IdBlock \*id block, void \*handle); typedef int (WimpEventHandlerC) (int event code, WimpPollBlock \*event, IdBlock \*id\_block, const void \*handle); typedef int (WimpEventHandlerCV) (int event\_code, WimpPollBlock \*event, \*id block, IdBlock const volatile void \*handle); typedef int (WimpEventHandlerV) (int event\_code, WimpPollBlock \*event, IdBlock \*id\_block, volatile void \*handle); kernel oserror \*event register wimp handler (ObjectId object id, int event code, WimpEventHandler \*handler, void \*handle); kernel oserror \*event register wimp handler c (ObjectId object id, int event code, WimpEventHandlerC \*handler, const void \*handle); kernel oserror \*event register wimp handler cv (ObjectId object id, int event code, WimpEventHandlerCV \*handler, const volatile void \*handle); kernel oserror \*event register wimp handler v (ObjectId object id, int event code, WimpEventHandlerV \*handler, volatile void \*handle); #define event register wimp handler(object id, event code, handler, handle)  $\setminus$ Generic(handler,  $\setminus$ WimpEventHandler \*: event\_register\_wimp\_handler, \ WimpEventHandlerC \*: event\_register\_wimp\_handler\_c, \ WimpEventHandlerCV \*: event register wimp handler cv, \ WimpEventHandlerV \*: event\_register\_wimp\_handler\_v) \ (object\_id, event\_code, handler, handle)

Nowadays, it would be possible to solve this using \_Generic, by selecting the type of the registration function according to the type of the callback function:

However, there is a combinatorial explosion as support for more qualifiers is added: all combinations of const, volatile, \_Optional and \_Atomic would require 16 variants of event\_register\_wimp\_handler. Supporting all the desired combinations with polymorphism may be impractical.

One goal of dogfooding was to evaluate how well \_Optional integrates with existing libraries. I therefore avoided 'cheating' by assuming that the types

of event\_register\_wimp\_handler and WimpEventHandler could be redefined arbitrarily.

Instead of polymorphic solutions such as that shown in the preceding example, there are two common ways of dealing with existing qualifiers:

- 1. Cast the qualifier away when converting a pointer to type void \*, or
- 2. Use an extra level of indirection (i.e. pass the address of an unqualified pointer to the qualified type, instead of passing the pointer itself).

Either solution also works for the \_optional qualifier, but \_optional is a bit different: if a null pointer is passed to a callback function, then that argument cannot be used (by definition) except for its capacity to encode one bit of information: pointer-to-object vs. pointer-to-nothing.

This suggests a third option: require the address of a callback context object.

#### Substitution of a non-null value

The writer\_internal\_init function is used to <u>initialise an instance of a struct type</u> that has a member of type void \*. The role of this member is similar to the context argument passed to bsearch\_s except that instead of being passed directly to callbacks, it can be read from a struct. It could be thought of as a pointer to instance variables of subclasses of Writer.

Previously, not all callers of writer\_internal\_init supplied a data pointer; some (having no instance variables) instead passed NULL:

```
void writer_null_init(Writer * const writer)
{
   assert(writer != NULL);
   static WriterFns const fns = {writer_null_fwrite, writer_null_destroy};
   writer_internal_init(writer, &fns, NULL);
}
```

I did not want to allow the data member of the struct to be NULL, because that would have made work everywhere that member is used. (In general, it's a bad idea to use unnecessarily permissive types.)

Instead, I considered modifying writer\_internal\_init to automatically substitute a default pointer value for null:

There is a problem with this approach though: null does not merely indicate the absence of a referenced object; it is also commonly used to indicate an error. For example,

the writer\_gkey\_init\_from function <u>allocates storage for an object</u> whose address is passed as 'data':

```
WriterGKeyData *const data = malloc(sizeof(*data));
if (data == NULL) {
    DEBUGF("Failed to allocate writer data\n");
    return false;
}
// ...
static WriterFns const fns = {writer_gkey_fwrite, writer_gkey_destroy};
writer_internal_init(writer, &fns, data);
```

If this, or any other caller of writer\_internal\_init, neglected to check for data == NULL then a default non-null value would have been substituted. Callback functions (such as

writer\_gkey\_destroy) could later misinterpret the default value as the address of something else (in this case, a WriterGKeyData object instead of a Writer object):

```
static bool writer_gkey_destroy(Writer * const writer)
{
  assert(writer != NULL);
  WriterGKeyData *const data = writer->data;
```

Instead, I decided to modify callers that previously passed NULL to pass a (dummy) non-null value instead:

```
void writer_null_init(Writer * const writer)
{
   assert(writer != NULL);
   static WriterFns const fns = {writer_null_fwrite, writer_null_destroy};
   writer_internal_init(writer, &fns, writer);
}
```

The callers of writer\_internal\_init are now responsible for any consequences of passing the address of the wrong object, instead of providing a footgun by hiding this substitution.

The easiest way of conjuring up a non-null pointer compatible with void \* is to use a string literal (e.g. "" or "none"):

```
void writer_null_init(Writer * const writer)
{
  assert(writer != NULL);
  static WriterFns const fns = {writer_null_fwrite, writer_null_destroy};
  writer_internal_init(writer, &fns, "");
}
```

Although tempting, this is probably a bad idea because it would generate a diagnostic message if the code were compiled by GCC with the -Wwrite-strings option enabled.

In the preceding example, there is no reason for writer\_gkey\_destroy to use a Writer address stored in writer->data instead of using writer directly; in other cases, I was able to substitute a callback context that could plausibly be useful.

For example, I replaced this code:

With this:

As it happens, the callback functions <code>estimate\_cb</code>, <code>cb\_write</code> and <code>cb\_lost</code> do not currently need a reference to the <code>EditWin</code>, but the change is harmless and unobtrusive.

#### Using indirection for type compatibility

An interesting use-case that I came across concerned use of a Boolean variable <u>as a callback</u> <u>context</u>:

It would be reasonable to assume that the callback function read\_file dereferences the callback context pointer to get a value of true or false, but it does not!

Instead, the value of the pointer is used directly, as an optimisation:

```
static bool read_file(Reader *const reader, int const estimated_size,
    int const file_type, char const *const filename, void *const client_handle)
{
    bool const is safe = client handle != NULL;
```

A different call to register read\_file as a callback function does not even specify a callback context:

ON\_ERR\_RPT(loader3\_receive\_data(message, read\_file, load\_fail, NULL));

The type of the context and its value of true are irrelevant because the value of is\_safe is unused! This illustrates that even when the pointed-to value is irrelevant, every pointer can encode one bit of information that can be extracted by comparing it with null. Instead of changing the signature of loader3\_receive\_data to allow null as a callback context
pointer, I refactored the calling code so that a callback context is always specified:

```
static bool is_safe = false;
ON_ERR_RPT(loader3_receive_data(message, read_file, load_fail, &is_safe));
```

Consequently, the callback function no longer needs to handle null:

```
static bool read_file(Reader *const reader, int const estimated_size,
    int const file_type, char const *const filename, void *const client_handle)
{
    bool const *const is_safe = client_handle;
```

#### Suggested best practices

- Do not permit null as a callback context simply as a placeholder.
- Avoid using a null/non-null as a substitute for a Boolean variable.
- Prefer credible (even redundant) callback contexts over dummy values.
- Prefer explicitly passing a dummy value as a callback context rather than letting the callee substitute one implicitly.

## Pointer property predicament

In object-oriented programming, getter and setter functions are commonly used to access properties of an object. Some properties may be pointers. For example, the following <u>pair of functions</u> allow the address of some data to be associated with a window, icon or menu:

It is possible to set null as the client handle of an object, but there is rarely a use-case for doing so. Users of this library are not obliged to call toolbox\_set\_client\_handle, so its argument is never just a placeholder; if the function is called at all, then the passed-in value is always meaningful — even if it is null.

Since none of my programs pass NULL to toolbox\_set\_client\_handle, I had no incentive to create a shim to allow it. On the other hand, null is the default value of an object's client handle, therefore there is an argument for requiring callers of toolbox\_get\_client\_handle to pass the address of a pointer to \_optional void in case the setter has not been called yet.

The declaration of the getter could be updated as follows:

Consequently, existing event handler code like this:

```
void *client_handle;
if (!E(toolbox_get_client_handle(0, id_block->ancestor_id, &client_handle)))
{
    EditWin * const edit win = client handle;
```

Would need to be rewritten defensively:

I decided not to make the preceding changes for reasons of expediency: to avoid modifying a thirdparty interface (or creating shims for it), and to avoid updating every call

to toolbox\_get\_client\_handle in my own projects. Ultimately, the benefits just weren't worth the effort.

## Improved code clarity

I believe that some of my changes improved code clarity because of the rule that &s[n] removes any \_Optional qualifier from the referenced type of s, whereas s + n does not.

Although s + n is equivalent to &s[n] in current code, it does not occur often enough to justify modifying arithmetic operators to remove any \_Optional qualifier from a pointed-to object.

(N3422, \_Optional: a type qualifier to indicate pointer nullability (v2))

Consequently, I was 'forced' to replace this code:

```
for (int pt_sample_no = 0;
    pt_sample_no < pt_samples->count;
    pt_sample_no++) {
    Fortify_CheckAllMemory();
    const PTSampleInfo * const ptsi = pt_samples->sample_info + pt_sample_no;
    const SampleInfo * const sample = sf samples->sample info + ptsi->sample num;
```

with <u>this</u>:

```
_Optional const PTSampleInfo * const ptsi_array = pt_samples->sample_info;
_Optional const SampleInfo * const sample_array = sf_samples->sample_info;
if (!ptsi_array || !sample_array) {
   return false;
}
for (int pt_sample_no = 0;
   pt_sample_no < pt_samples->count;
   pt_sample_no++) {
   Fortify_CheckAllMemory();
   const PTSampleInfo * const ptsi = &ptsi_array[pt_sample_no];
   const SampleInfo * const sample = &sample_array[ptsi->sample_num];
```

I consider the latter to be an improvement in readability and efficiency as well as robustness:

- The array element syntax is clearer than use of pointer arithmetic.
- It is easier for the optimiser to see that neither pt\_samples->sample\_info nor sf samples->sample info are modified within the loop.
- It is more robust and self-evidently correct to check for pt\_samples->sample\_info or sf\_samples->sample\_info being null instead of relying on the value of pt\_samples->count being zero in those circumstances.

## Defensive control flow

In code with complex control flow that I knew would not be performance sensitive, if there was any possible ambiguity about whether a pointer could be null or not, I often chose to <u>add explicit checks</u> for null pointer values using an *if* statement.

For example, I chose to replace this:

```
if (success) {
  const clock_t start_time = time ? clock() : 0;
  success = processor(in, tmp != NULL ? tmp : out, history_log_2, verbose);
  if (success && time)
  {
    printf("Time taken: %.2f seconds\n",
        (double)(clock_t)(clock() - start_time) / CLOCKS_PER_SEC);
  }
}
```

With this:

Could I have proved that neither in nor out could be null if success were true? Yes. But defensive programming makes it easier for people and tools that analyse the code to see that it is safe, especially when there is complex conditional logic leading up to the point where a pointer must not be null. If a compiler can optimise away such checks, then it will.

There are also cases where I did not follow that rule but perhaps, I should have done so. In the <u>following code</u>, <code>input\_file</code> is never null if <code>tmp</code> is not null, but that is not evident without analysing the entire function:

```
if (success) {
   if (output_file != NULL) {
    if (input file != NULL && strcmp(&*output file, &*input file) == 0) {
      /* Can't overwrite the input file whilst reading from it, so direct
         output to a temporary file instead */
      if (verbose)
        puts("Opening temporary output file");
      tmp = tmpfile();
      if (tmp == NULL) {
        fprintf(stderr, "Failed to create temporary output file: %s\n",
                strerror(errno));
        success = false;
      }
    }
    // ...
  }
}
// ...
if (tmp != NULL) {
  if (success) {
    if (output_file != NULL) {
      /* Open the real output file */
      if (verbose)
        printf("Opening output file '%s'\n", output_file);
      out = fopen(&*input_file, "wb");
```

# Defensive provision of objects

Where a function requires its caller to pass a pointer to an object of a specific type (as opposed to a pointer to void), I often found it preferable to pass the address of an object of the expected type instead of passing NULL based on assumptions about the definition of the function.

For example, the following function doesn't use the WimpMessage object passed to it:

```
static int mode_change_msg(WimpMessage *const message, void *const handle)
{
    // ...
    NOT_USED(handle);
    NOT_USED(message);
```

This function is not only called on receipt of a <u>ModeChange message</u>; it is <u>also called when the</u> <u>program starts up</u>. Knowing that it uses neither of its arguments, I originally passed NULL as the value of both:

```
/* Read variables for current screen mode */
mode_change_msg(NULL, NULL);
```

However, this is not how a WimpMessageHandler is called by the event library, therefore it seemed preferable to pass the address of a real WimpMessage object instead of casting NULL to remove the Optional qualifier from the referenced type:

```
/* Read variables for current screen mode */
mode_change_msg(&(WimpMessage){0}, &(int){0});
```

A more efficient alternative might have been to create a new function with no parameters, called both by mode\_change\_msg and during start up.

Another example concerns unit tests for one of my editor programs.

The editor\_redo<u>function</u> requires its caller to pass the address of an array of palette entries, but only uses that array when redoing a subset of actions (including EditRecordType\_Interpolate but not EditRecordType\_Move):

```
bool editor_redo(Editor *const editor, PaletteEntry const palette[])
{
  // ...
 EditSky *const edit sky = editor->edit sky;
  assert(edit sky != NULL);
 LinkedListItem *const redo item = get redo item(edit sky);
 assert(redo item != NULL);
 EditRecord *const rec = CONTAINER OF (redo item, EditRecord, link);
 edit sky->next undo = redo item;
 bool changed = false;
  DEBUGF("Redo of type %d\n", (int)rec->type);
  switch (rec->type)
  // ...
  case EditRecordType Interpolate:// Requires palette
    if (s_interpolate(&edit_sky->sky, palette,
      rec->data.edit.dst_start, rec->data.edit.old_dst_end,
      rec->data.edit.fill, NULL, 0))
    {
      redraw bands(edit sky, rec->data.edit.dst start,
                   rec->data.edit.old dst end);
      changed = true;
    }
    break;
  case EditRecordType Move: // Does not require palette
    changed = redo move(editor, rec);
    if (changed)
    {
      redraw move(edit sky, rec);
    }
    break;
```

In normal usage, it is impossible to predict which action will be redone by this function. In unit tests, however, the action type is entirely predictable. I had made use of that knowledge to pass NULL when calling editor redo in many tests; for example, in a test for

pass North when caning earcor\_read in many tests, for exam

EditRecordType\_SetRenderOffset:

```
assert(editor_redo(&editor, NULL));
assert(sky_get_render_offset(edit_sky_get_sky(&edit_sky)) == RenderOffset);
check_redraw_render_offset(i++, &edit_sky);
assert(render offset count == i);
```

Changing the signature of editor\_redo to allow null to be passed would have required new control flow inside that function, but there is no real-world use case for passing null. Instead, I updated tests to <u>always pass the address of an array</u> regardless of whether they expected it to be used. The compound literal that I substituted simply maps every palette entry to black:

```
assert(editor_redo(&editor, (PaletteEntry [NumColours]){0}));
assert(sky_get_render_offset(edit_sky_get_sky(&edit_sky)) == RenderOffset);
check_redraw_render_offset(i++, &edit_sky);
assert(render offset count == i);
```

Another example where *not* passing null seemed the right thing to do was when it had been <u>used as</u> <u>a stand-in for a string</u>:

```
static CONST _kernel_oserror *lookup_error(const char *const token,
    const char *const param)
{
    /* Look up error message from the token, outputting to an internal buffer */
    return messagetrans_error_lookup(desc, DUMMY_ERRNO, token, 1, param);
}
/* ------ */
static CONST _kernel_oserror *no_mem(void)
{
    return lookup_error("NoMem", NULL);
}
```

messagetrans\_error\_lookup is a variadic function, so its trailing parameter types are not explicit and cannot be qualified. Nevertheless, it does <u>handle null arguments</u>.

Instead of qualifying the param parameter of lookup\_error as \_Optional, I <u>modified its callers</u> to pass "" instead of NULL. One could argue that NULL is more efficient, but efficient error reporting is rarely important.

The result is terser and more explicit:

```
static CONST _kernel_oserror *no_mem(void)
{
   return lookup_error("NoMem", "");
}
```

I took the same approach to third-party interfaces where the benefit — or even the validity — of allowing NULL to be passed was in doubt.

For example, the following <u>library function</u> cannot be passed a pointer to an \_optional buffer, even though it seems logical for its buffer argument to be ignored if bytes written is zero:

Previously, saveas\_buffer\_filled could be called with NULL by the following code in one of my
programs:

```
ON_ERR_RPT(saveas_buffer_filled(0, saveas_id, buffer, chunk_size));
```

I modified the calling code to ensure that saveas\_buffer\_filled is never called with NULL by passing the address of a tiny dummy object instead:

ON\_ERR\_RPT(saveas\_buffer\_filled(0, id\_block->self\_id, buffer, chunk\_size));

# Allowing null arguments — the right way

In contrast to the preceding examples, I <u>relaxed the constraints on passing null</u> to some of my own functions to make them more robust and simplify usage. I consider such cases distinct from defensive programming because they involve a new guarantee that null is handled rather than runtime checks added merely to clarify existing code or as a precaution.

For example, the <u>following function</u> relied on assertions to ensure that its callers never passed a null pointer as the value of <code>buffer unless the buff\_size</code> was zero:

```
kernel oserror *colourtrans read palette(unsigned int
                                                                     flags,
                                           const ColourTransContext *source,
                                           PaletteEntry
                                                                    *buffer,
                                                                     buff size,
                                           size t
                                           size_t
                                                                    *nbytes)
{
 _kernel_oserror *e = NULL;
_kernel_swi_regs regs;
 assert(source != NULL);
 assert(buffer != NULL || buff size == 0);
 assign regs(&regs.r[0], source);
  /* Find buffer size and/or read palette into caller's buffer */
 regs.r[2] = (int)buffer;
 regs.r[3] = buff size;
 regs.r[4] = flags;
 DEBUGF("ClrTrans: Calling ColourTrans_ReadPalette with "
         "0x%x,0x%x,0x%x,0x%x,0x%x\n",
         regs.r[0], regs.r[1], regs.r[2], regs.r[3], regs.r[4]);
 e =
      kernel swi(ColourTrans ReadPalette, &regs, &regs);
```

I modified the function to <u>ignore the value of buff\_size</u> if a null pointer is passed as the value of buffer:

```
Optional kernel oserror *colourtrans read palette(
                                           unsigned int
                                                                      flags,
                                           const ColourTransContext *source,
                                           _Optional PaletteEntry *buffer,
size_t buff_size,
                                           size t
                                                                   *nbytes)
                                           _Optional size_t
{
  _Optional _kernel_oserror *e = NULL;
 _kernel_swi_regs regs;
 assert (source != NULL);
 if (!buffer)
  {
   buff size = 0;
  }
 assign regs(&regs.r[0], source);
  /* Find buffer size and/or read palette into caller's buffer */
 regs.r[2] = buffer ? (int)buffer : 0;
 regs.r[3] = buff size;
 regs.r[4] = flags;
 DEBUGF("ClrTrans: Calling ColourTrans ReadPalette with "
         "0x%x,0x%x,0x%x,0x%x,0x%x\n",
         regs.r[0], regs.r[1], regs.r[2], regs.r[3], regs.r[4]);
 e = kernel swi(ColourTrans ReadPalette, &regs, &regs);
```

(The return value was also updated to \_Optional \_kernel\_oserror \* to indicate that the function may return null, which it does if no error occurred.)

In contrast to <u>N3322</u>, which permits null only when the length is zero (requiring tools and programmers to correlate argument values) this change makes acceptance of null explicit and unconditional. Consequently, such interfaces are both safer and more amenable to static analysis, since nullability is part of the type and does not depend on external conditions.

## Casts harm analysis

Most existing code uses at least some casts. In rare cases, this hindered my efforts to ensure that all pointers that can be null are declared with their referenced type qualified by \_Optional.

I initially overlooked <u>the following code</u>, which uses an intrusive linked list. It casts the return value of linkedlist\_for\_each (a pointer to a \_Optional LinkedListItem) to a LoadOpData \*, thereby discarding the \_Optional qualifier from the referenced type:

The cast hides the nullability of the result, preventing both type-based diagnostics and static analysis from catching potential misuses. It was written before <code>linkedlist\_for\_each</code> was updated to return a pointer to a <code>\_Optional LinkedListItem</code>, but its presence now discards valuable information.

Had linkedlist\_for\_each instead been declared as returning a \_Nullable pointer, Clang's static analyser would not have produced a diagnostic either — unless load\_op\_data were declared as \_Nonnull with an exactly matching type. This illustrates that nullability attributes suffer the same issue and don't offer a clear advantage in such cases.

In situations like this, only the diligence of programmers can ensure that pointer nullability information is preserved across type conversions.

## Pointers as output parameters

In current practice, it is common to initialise an object (including one of pointer type) whose address will be passed to another function for use as an output parameter. The obvious initialiser for pointers is NULL.

An example is the character pointer endp in the following code:

```
char *endp = NULL;
tile_num = strtol(name + sizeof(TILE_SPR_NAME)-1, &endp, 10);
if (tile_num > MapTileMax || *endp != '\0')
{
    tile_num = -1;
}
```

The called function, strtol, is declared in <stdlib.h> as:

long int strtol(const char \* restrict nptr, char \*\* restrict endptr, int base);

In the description of strtol, there is no mention of the input value of the object pointed to by endptr having any significance; only the value of the endptr argument itself:

A pointer to the final string is stored in the object pointed to by endptr, provided that endptr is not a null pointer.

(7.25.2.8 The strtol, strtoll, strtoul, and strtoull functions, ISO/IEC 9899:202y (en) — <u>N3550</u> working draft)

In the preceding example, endptr is &endp, so the 'object pointed to by endptr' is endp itself.

Given that the initial value (here, NULL) is unused, the initialisation is redundant. Such initialisations are typically added to appease static analysers, which may otherwise complain that an object passed by address is uninitialised. They are also used to guard against future changes, or when a called function does not always assign a value to its output parameters.

With my redefinition of NULL as ((\_Optional void \*)0), the compiler correctly diagnoses \*endp = NULL as a constraint violation. A naive attempt to fix this might involve qualifying the referenced type of endp:

\_Optional char \*endp = NULL;

However, this causes another problem: the strtol function does not accept the address of a pointer to \_optional. Should it?

Qualifying the type of endptr as \_Optional char \*\* would imply that the value written by strtol might be null. This is not the case — even on failure:

If the subject sequence is empty or does not have the expected form, no conversion is performed; the value of nptr is stored in the object pointed to by endptr, provided that endptr is not a null pointer.

(7.25.2.8 The strtol, strtoll, strtoul, and strtoull functions, ISO/IEC 9899:202y (en) — <u>N3550</u> working draft)

The only reason <code>\*endptr</code> might be null is if it was initialised that way and <code>strtol</code> has not yet overwritten it with <code>nptror</code> a pointer derived from <code>nptr</code>.

My <u>final version</u> of the calling code was simply to remove the redundant initializer:

```
char *endp;
tile_num = strtol(name + sizeof(TILE_SPR_NAME)-1, &endp, 10);
if (tile_num > MapTileMax || *endp != '\0')
{
   tile_num = -1;
}
```

Since endp has automatic storage duration, it is not subject to default initialisation. Its value is indeterminate until strtol writes to it — which is guaranteed because endptr is not null. However, this raises an important question: how should tools reason about pointer values that are null only because of default initialisation?

## Global variables

Global variables (aka external object definitions) of pointer type present certain unique challenges.

Global pointers to dynamically allocated storage are typically initialised to null in their declarations; even when not explicitly initialised, default initialisation gives them a null value. They are usually assigned non-null values later, during program startup, often by an explicit initialisation function.

For example, one of my programs declared the following global variables:

With my redefinition of NULL as ((\_Optional void \*)0), the compiler correctly diagnosed a constraint violation in declarations such as static void \*col trans table = NULL.

I chose to <u>qualify the referenced type</u> of such pointers as \_optional instead of removing their explicit NULL initializers because I wanted the additional rigour that \_optional brings. As before, the NULL initializers are strictly redundant, but I kept them for clarity:

The trig\_table and persp\_table pointers are only null until Preview\_initialise is called on start-up; if Preview\_initialise fails then the program exits. However, there is no way to indicate that global pointers cannot be null after being assigned a value, therefore every function that uses such pointers must assume they could be null.

The <u>following function</u> uses trig\_table to rotate coordinates:

```
static void cam rotate (Point3D *const p, int const x angle, int const y angle)
{
 // ...
 int const x in = p - x;
 int y_in = p -> y;
 int const z in = p - z;
 /* Apply X rotation */
 int cos = TrigTable look up cosine(trig table, x angle),
     sin = TrigTable_look_up_sine(trig_table, x_angle);
 y_in = (x_in * sin) / SineMultiplier +
        (y_in * cos) / SineMultiplier;
 /* Apply Y rotation */
 cos = TrigTable look up cosine(trig table, y angle);
 sin = TrigTable look_up_sine(trig_table, y_angle);
 // ...
```

The compiler diagnoses constraint violations when compiling this function with the modified declaration of  $trig_table$ , because the possibly-null pointer is passed

to TrigTable\_look\_up\_cosine and TrigTable\_look\_up\_sine:

A naive solution would be to add a defensive check for trig\_table being null upon entry to the function and then substitute &\*trig\_table to remove \_Optional from the referenced type of the pointer, just as one would for an argument:

```
static void cam rotate(Point3D *const p, int const x angle, int const y angle)
{
 // ...
 int const x in = p - x;
 int y in = \overline{p}->y;
 int const z in = p - z;
 if (!trig table) return;
  /* Apply X rotation */
 int cos = TrigTable_look_up_cosine(&*trig_table, x_angle),
     sin = TrigTable_look_up_sine(&*trig_table, x_angle);
 y in = (x in * sin) / SineMultiplier +
        (y in * cos) / SineMultiplier;
 /* Apply Y rotation */
 cos = TrigTable_look_up_cosine(&*trig_table, y_angle);
 sin = TrigTable_look_up_sine(&*trig_table, y_angle);
  // ...
```
This prevents constraint violations, but the static analyser still reports a warning — and the explanation is subtle:

Strangely, this warning relates to the second use of trig\_table, not the first. It might not be immediately obvious why. The answer is that the analyser must assume that any function call might modify the value of global variables. So, from the point of view of the analyser, the call to TrigTable\_look\_up\_cosine doesn't just use the value of trig\_table; it also potentially invalidates it!

These issues are very hard for programmers to spot, because it is rarely clear whether a variable is global or local. The correct solution is to assign the value of a global variable to a local variable before checking whether it is null. The analyser knows the local variable can't change between uses, so the null check remains valid throughout the block.

This also allows the checked pointer to be given a more restrictive type, thereby all but eliminating use of the &\* sigil (used to remove Optional) and simplifying the programmer's mental model:

```
static void cam rotate(Point3D *const p, int const x angle, int const y angle)
{
 // ...
 int const x in = p - x;
 int y_in = p -> y;
 int const z_in = p->z;
 if (!trig table) {
   return;
 const TrigTable *const tt = &*trig table;
  /* Apply X rotation */
 int cos = TrigTable_look_up_cosine(tt, x_angle),
      sin = TrigTable look up sine(tt, x angle);
 p->x = (x in * cos) / (SineMultiplier / PostRotateScaler) -
         (y in * sin) / (SineMultiplier / PostRotateScaler);
 y_in = (x_in * sin) / SineMultiplier +
         (y_in * cos) / SineMultiplier;
  /* Apply Y rotation */
 cos = TrigTable_look_up_cosine(tt, y_angle);
 sin = TrigTable_look_up_sine(tt, y_angle);
  // ...
```

The preceding example is of the most trivial kind, in which it is 'obvious' that none of the callees can have modified the value of trig\_table. In more complex cases, confirming that the value of a global variable does not change can require detailed analysis.

For instance, I had to convince myself that the <code>next\_client</code> pointer of a round-robin scheduler could not be modified by any of the functions that it schedules. The payoff for this analysis was improved clarity: renaming the global variable as <code>global\_next</code> and assigning its value to a local <code>next\_client</code> made the code easier to reason about:

```
_Optional SchedulerClient *last_called = NULL, *next_client = global_next;
while (clients_count)
{
    if (next_client == NULL)
    {
        /* We have lost our place in the list, or reached the end */
        DEBUG_VERBOSEF("Scheduler: returning to head of client list\n");
        _Optional LinkedListItem *const head = linkedlist_get_head(&clients_list);
        if (head == NULL)
        {
            DEBUGF("Scheduler: client list is empty!\n");
            break; /* paranoia */
        }
        next_client = CONTAINER_OF(head, SchedulerClient, list_item);
    }
}
```

Once again, using \_optional had made my code less ambiguous. This pattern of assigning global state to a local variable can reduce both false positives in analysis and mental burden for readers and reviewers alike.

## When pointers cannot be copied safely

Several of my programs use a shifting heap provided by a third-party library named Flex. It is built on <u>the following typedef</u>, which represents a pointer to an 'anchor' that both uniquely identifies a heap block and stores its current address:

typedef void \*\*flex\_ptr;

Hiding pointer types usually harms the clarity of code. The Linux kernel coding style guide <u>goes</u> <u>further</u>, by also arguing against hiding struct types using typedef. Nevertheless, both practices are common.

Type aliases that hide pointers have another drawback: the referenced type cannot easily be qualified as const or \_\_Optional. For example, const flex\_ptr means a constant pointer to a void \* — not a pointer to a const void \*, which may have been the intent.

flex\_ptr is not an opaque type because no functions are provided to allocate an 'anchor' or get the
address stored in it. Instead, users are expected to allocate their own 'anchor' and pass its address
to functions that expect a flex\_ptr. This results in weak type-safety, because the only type of
pointer that matches without casting is void \*.

Consider the <u>following example</u>. There's nothing in the type of the records member that signals its intended use, so a comment is needed to explain that its address is intended to be a flex\_ptr:

```
typedef struct
{
    int num_cols;
    void *records; /* flex anchor */
}
ExpColFile;
```

<u>Elsewhere in the program</u>, whether records is null determines whether flex\_free is called to free the associated heap block:

```
void ExpColFile_destroy(ExpColFile *const file)
{
    if (file->records)
    {
        flex_free(&file->records);
    }
}
```

Theoretically, this check would allow ExpColFile\_destroy to be called after a failed call
to ExpColFile\_init, or twice for the same ExpColFile. This is
because flex\_alloc sets records to null if it fails (although undocumented),
and flex\_free sets records to null (as documented).

It might seem that the referenced type of records ought to be qualified as \_Optional since it can be null. However, the program does not rely on that: if flex\_alloc succeeds, then the value of records is assumed to be non-null; if flex\_alloc fails or flex\_free has been called, records is not used again. As such, the array pointed to by records is not really treated as optional. In any case, it would be impossible to change the type of records to \_Optional void \* without requiring a cast whenever &records is passed to a function that expects flex\_ptr (aka void \*\*) instead of \_Optional void \*\*. (Some existing users already cast for a different reason, having judged that declaring 'anchors' with a specific type is preferable to using void \* where there is no actual requirement for polymorphism.)

Conversely, redefining flex\_ptr as \_Optional void \*\* would break all existing code that passes void \*\*, since void \*\* cannot be implicitly converted to that type. (In contrast, redefining flex\_ptr as an alias for void \* \_Optional \*would be fine, but null *arguments* are not what is needed.)

Nor would the enhanced type variance proposed by <u>N3510</u> avoid incompatibilities: passing void \*\* to a function that treats it as \_optional void \*\* would permit the callee to set the caller's pointer to null — a transformation that must be assumed unsafe. (An implicit conversion to \_optional void \*const \* would be allowed, but that contradicts the actual behaviour of the library.)

Even if redefining flex\_ptr as \_optional void \*\* were practical, the semantics would not be a good fit for my program: casts (to remove the \_optional qualifier) or null checks would be required every time records is used. The usual way to avoid repetitive null checks is to assign an \_optional void \* to a variable of unqualified type (in this case, ExportColFileRecord \*) and use that instead. That specifically does not work with Flex, because it requires a unique pointer to each heap block — otherwise, it wouldn't know which pointer to update when shifting blocks.

Ultimately, I left the definition of flex\_ptr —and my usage of it— unchanged. Not every pointer that can be null must be qualified as a pointer to \_optional. Still, the issues raised here should concern anyone designing a similar library: forbidding pointer copying severely limits the ability of the type system to enforce safety.

### Array to pointer decay and its consequences

Many of my projects are <u>RISC OS</u> applications. RISC OS is a venerable operating system that represents errors using the <u>following struct type</u>:

```
typedef struct
{
    int errnum;
    char errmess[252];
} kernel oserror;
```

Conventionally, "no error" (i.e. success) is represented by a null pointer of type \_kernel\_oserror \*.

A curious quirk of C is that use of the errmess member of this struct is —under most circumstances— indistinguishable from use of the errmess member of the following (hypothetical) struct:

```
typedef struct
{
    int errnum;
    char *errmess;
} kernel oserror;
```

This is because objects of array type 'decay' automatically into pointers to the first array element (with a few exceptions, such as when used as the operand of the address-of operator).

When compiling <u>some obsolete code</u> after an incomplete effort to add the \_optional qualifier to most uses of \_kernel\_oserror, I encountered an unexpected constraint violation:

This was easily cured by <u>using the &\* idiom</u> to remove the \_Optional qualifier from the referenced type of errptr->errmess:

```
_Optional _kernel_oserror *errptr;
errptr=toolbox_get_object_class(0, object, &objclass);
if (errptr != NULL) {
    if (errptr->errnum != ERR_BAD_OBJECT_ID)
        err_complain(errptr->errnum, &*errptr->errmess);
    return false; /* ignore listener if bad object ID */
}
```

However, the resultant code looks noisy and puzzling — neither of which I intended when designing \_optional. What does a null check on errptr have to do with the nullability of the pointer errptr->errmess? Nothing — yet the code appears to treat them as related.

The errmess member of \_kernel\_oserror is treated as \_Optional char[252] because the expression errptr->errmess is an lvalue derived from a pointer to an \_Optional type. This array type 'decays' into a pointer to the first character of the error message, which is also \_Optional. The err\_complain function does not accept \_Optional char \* —only char \*— therefore the qualifier must be removed again.

It makes sense that the error message is treated as optional, since it belongs to an optional object. However, it is not normally possible to construct a pointer to such a subobject without first removing the \_optional qualifier, because the address of an object is never null — only the pointer to it can be.

At the heart of this problem is the fact that one aspect of my design for \_Optional is based on a false assumption:

There is only one way to get the address of an object (excepting arithmetic), whereas there are many ways to dereference a pointer.

(N3422, \_Optional: a type qualifier to indicate pointer nullability (v2))

Thanks to array-to-pointer 'decay', that is not true: an array type can 'decay' into the corresponding pointer type without explicit use of the unary  $\alpha$  operator. This suggests that my design decision to remove the \_Optional qualifier using the  $\alpha$  operator instead of the dereferencing operators (\*, -> and []) should be revisited.

## \_Optional really does find bugs

Pointer arithmetic and array indexing

I discovered several latent bugs in the <u>following code</u>:

Let us assume that sf\_samples->sample\_info is an array of at least sf\_samples->count elements, which prevents (sample->type == SampleInfo\_Type\_Unused) from being evaluated when sample is an invalid pointer.

Nevertheless:

- The expression sf\_samples->sample\_info + sample\_num has undefined behaviour if sf\_samples->sample\_info is null pointer regardless of the value of sample\_num, according to section 6.5.7 of the ISO C23 standard. (The latest draft of C2Y has relaxed this rule, allowing zero-length operations on null pointers.)
- sf\_samples->sample\_info + sample\_num is using an array element index of unknown magnitude. This has undefined behaviour if sample\_num is greater than the number of elements in the array.
- The resultant indeterminate pointer is dereferenced by printf("%d %d %d\n", sf\_samples->count, sample\_num, sample->type).

My first step was to qualify the referenced type of the sample\_info member as \_Optional:

```
typedef struct {
    int count;
    int alloc;
    _Optional PTSampleInfo *sample_info;
} PTSampleArray;
```

The compiler then produced a diagnostic of a constraint violation in the declaration that contains the first bug, without path-sensitive analysis:

Although the diagnostic message does not pertain to the use of the additive operator, it seems unlikely that sf\_samples->sample\_info + sample\_num would be evaluated without assigning the result to an argument or variable.

The simplistic fix of adding an \_optional qualifier to the declaration specifiers of sample (to avoid the constraint violation) is wrong, but a diagnostic message is only produced when the amended code is subjected to path-sensitive analysis:

```
protracker.c:925:77: warning: Pointer to _Optional object is dereferenced without a
preceding check for null [optionality.OptionalityChecker]
    925 | __Optional const SampleInfo * const sample = sf_samples->sample_info
    + sample_num;
    |
```

~~~~^^~~~~~

Had the same declaration been written as

const SampleInfo \* const sample = &sf\_samples->sample\_info[sample\_num];

then no constraint would have been violated, whereas the static analyser produces a diagnosis for both variants:

and

It is not clear to me that static analysers will continue to be able to diagnose arithmetic on null pointers, since <u>N3322</u>was accepted by WG14. Thus, acceptance of a corner case undermines detection of the common case.

My <u>initial attempted solution</u> satisfied both the compiler and the static analyser, but was incomplete:

```
_Optional const SampleInfo * const sample = sf_samples->sample_info ?
    &sf_samples->sample_info[sample_num] :
    NULL;

if (!sample ||
    (sample_num >= sf_samples->count) ||
    (sample->type == SampleInfo_Type_Unused)) {
    printf("%d %d %d\n", sf_samples->count, sample_num,
        sample ? sample->type : SampleInfo_Type_Unused);
    fprintf(stderr, "Warning: Sample number %d is not defined!\n",
        sample_num);
    continue;
}
```

The first bug (arithmetic on a null pointer) is fixed, but the second (possible out-of-bounds access) and third (dereferencing an indeterminate pointer) still exist. The \_optional qualifier cannot solve array out-of-bounds issues like this, although it may coincidentally draw attention to them.

#### My eventual solution was to check the value

of sf\_samples->sample\_info and sf\_samples->count (separately, instead of relying on count to be 0 when sample\_info is null) before computing the address of the relevant SampleInfo element:

```
_Optional const SampleInfo * const sample =
   sf_samples->sample_info && sample_num < sf_samples->count ?
    &sf_samples->sample_info[sample_num] :
    NULL;

if (!sample || (sample->type == SampleInfo_Type_Unused)) {
   printf("%d %d %d\n", sf_samples->count, sample_num,
        sample ? sample->type : SampleInfo_Type_Unused);
   fprintf(stderr, "Warning: Sample number %d is not defined!\n",
        sample_num);
   continue;
}
```

Checking fopen return values: a missed branch

Another bug that I found was in the <u>following code</u>, which passed a possibly-null pointer to a FILE (the value of out) into the fcopy function. If fopen failed, then its caller set a variable named success to false but subsequent code did not check the value of that variable before calling fcopy:

```
if (success) {
  if (output file != NULL) {
    /* Open the real output file */
    if (verbose)
      printf("Opening output file '%s'\n", output file);
    out = fopen(input file, "wb");
    if (out == NULL) \overline{\{}
      fprintf(stderr,
               "Failed to open output file: %s\n",
               strerror(errno));
      success = false;
    }
  } else {
    /* Default output is to standard output stream */
    out = stdout;
  }
  if (verbose)
    puts("Copying from temporary to final output");
  if (fseek(tmp, OL, SEEK_SET)) {
   fprintf(stderr, "Failed to seek start of temporary file\n");
    success = false;
  } else if (!fcopy(tmp, out)) {
    success = false;
  }
}
```

When I changed the type of out from FILE \* to \_Optional FILE \* (for compatibility with the return type of my hidden shim, optional\_fopen), the compiler correctly reported a constraint violation:

(The &\*tmp idiom removes \_Optional from the referenced type safely, without requiring a cast; so far, this has only been applied to one of the arguments to fcopy.)

Having to *explicitly* remove \_optional from referenced types encourages the programmer to think about whether it is safe to do so. Thus, I noticed the flow-control bug when changing out to &\*out in the argument list of the call to fcopy, but I deliberately left the bug in the

modified code to verify that the path-sensitive analyser also found it:

This illustrates that \_optional provides value to a careful programmer even without path-sensitive analysis, although such tools are still useful to validate the final version of some code.

The <u>real solution</u> was to make the call to fcopy conditional on the value of success (although it would arguably have been more straightforward to check the value of out):

```
if (success) {
  if (output_file != NULL) {
    /* Open the real output file */
    if (verbose)
      printf("Opening output file '%s'\n", output file);
    out = fopen(&*input file, "wb");
    if (out == NULL) {
      fprintf(stderr,
              "Failed to open output file: s\n",
              strerror(errno));
      success = false;
    }
  } else {
    /* Default output is to standard output stream */
   out = stdout;
  }
}
if (success) {
  if (verbose)
   puts("Copying from temporary to final output");
 if (fseek(&*tmp, OL, SEEK_SET)) {
    fprintf(stderr, "Failed to seek start of temporary file\n");
    success = false;
  } else if (!fcopy(&*tmp, &*out)) {
    success = false;
  }
}
```

With this fix, all paths that could pass a potentially null value to a non-nullable parameter are guarded by explicit checks.

### Conclusion

\_Optional is not magic. It doesn't solve array bounds checking or replace the need for careful control flow, but it does makes problems visible earlier and forces programmers to reflect on their code.

# Dogfooding also found a bug in my fork of Clang

Years ago, I designed a <u>3D object library</u> to use pointer-to-array types throughout, as an experiment in unusual use of C's type system. That made it perfect for finding a bug in <u>my fork of Clang</u>: The code that I had written to remove the <u>\_Optional</u> qualifier from the type of the operand of the address-of operator did not remove the qualifier from array types, resulting in incorrect qualifier mismatch diagnostics.

#### For example:

```
void fred(int (*x)[10]);
void jim(void)
{
    _Optional int (*y)[10];
    fred(&*y); // warning: passing '_Optional int (*)[10]' to parameter of type 'int
(*)[10]' discards qualifiers
}
```

Similar spurious messages prevented me from doing a thorough job of updating my 3D object library. In the following example, the <code>vector\_x</code> and <code>vector\_y</code> functions both require the address of an array of coordinates, but <u>only the first call has been updated</u> to explicitly remove the <code>\_Optional</code> qualifier from the referenced type of <code>point</code>:

```
_Optional Coord (* const point)[3] = vertex_array_get_coords(varray, v);
if (!point) {
   return false;
}
const Coord px = *vector_x(&*point, plane);
Coord py = *vector_y(point, plane);
```

I don't think this is indicative of any long-term problem though, because using point instead of <code>&\*point</code> passes a pointer to an \_optional-qualified referenced type to a function that cannot accept it — a constraint violation that must be diagnosed.

I have since pushed <u>a fix</u> to my fork of Clang (+23 -1 lines changed). Matt Godbolt has also kindly <u>updated Compiler Explorer</u> to include my fix. Since the wording that I proposed in my most recent paper, <u>N3422</u>, did not include any example usage of arrays, I will ensure that the next version does so.

This bug illustrates the importance of exercising even the more obscure corners of the type system.

## In conclusion

If you have the time and inclination, then please experiment with using \_Optional in your own projects. It's relatively low risk: the qualifier can easily be deleted again with grep and sed, as can the &\* pattern used to remove it from referenced types. In particular, I am addressing those who support standardisation of \_Optional. I'd rather that support for such an important new feature of the language be based on real-world usage rather than purely on trust.

## Acknowledgements

Alex Celeste for suggesting the optional\_cast macro (by a different name).